UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------------------|------------------------------------|---------------------------|---------------------|------------------|
| 10/540,843 | 05/18/2006 | Lorenza Mariscal-Gonzalez | UHT1.001APC | 2198 |
| | 7590 09/24/200 RTENS OLSON & BE | EXAMINER | | |
| 2040 MAIN ST | | BLUMEL, BENJAMIN P | | |
| FOURTEENTH FLOOR IRVINE, CA 92614 | | | ART UNIT | PAPER NUMBER |
| | | | 1648 | |
| | | | | |
| | | | NOTIFICATION DATE | DELIVERY MODE |
| | | | 09/24/2007 | ELECTRONIC |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

jcartee@kmob.com eOAPilot@kmob.com

| | Application No. | Applicant(s) | | | |
|--|---|--------------------------|--|--|--|
| | 10/540,843 | MARISCAL-GONZALEZ ET AL. | | | |
| Office Action Summary | Examiner | Art Unit | | | |
| | Benjamin P. Blumel | 1648 | | | |
| The MAILING DATE of this communication app | ears on the cover sheet with the c | orrespondence address | | | |
| Period for Reply | ALO OST TO SYDIDS AMONTHY | O) OD THIDTY (00) DAYO | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). | | | | | |
| Status | | · | | | |
| 1) Responsive to communication(s) filed on 27 Ju | <u>ıne 2005</u> . | | | | |
| 2a) This action is FINAL . 2b) This | This action is FINAL . 2b) This action is non-final. | | | | |
| | ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. | | | | | |
| Disposition of Claims | | | | | |
| 4) Claim(s) 8-44 is/are pending in the application. | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | |
| 5) Claim(s) is/are allowed. | | | | | |
| 6) Claim(s) is/are rejected. | | | | | |
| 7) Claim(s) is/are objected to. | | | | | |
| 8)⊠ Claim(s) <u>8-44</u> are subject to restriction and/or € | election requirement. | | | | |
| Application Papers | | | | | |
| 9)☐ The specification is objected to by the Examine | r. | | | | |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: | | | | | |
| 1. Certified copies of the priority documents have been received. | | | | | |
| 2. Certified copies of the priority documents have been received in Application No | | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). | | | | | |
| * See the attached detailed Office action for a list of the certified copies not received. | | | | | |
| | ` | | | | |
| | • | | | | |
| Attachment(s) | _ | | | | |
| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) | 4) Interview Summary Paper No(s)/Mail Da | | | | |
| 3) Information Disclosure Statement(s) (PTO/SB/08) 5) Notice of Informal Patent Application | | | | | |
| Paper No(s)/Mail Date 6) Other: | | | | | |

Application/Control Number: 10/540,843

Art Unit: 1648

DETAILED ACTION

Election/Restrictions

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group I, claim(s) 8-31 and 38-43, drawn to a pharmaceutical composition.

Group II, claim(s) 32, drawn to a method of treating diabetes.

Group III, claim(s) 33-36 drawn to a method of treating cancer.

Group IV, claim(s) 37 and 44, drawn to a method of determining regions of a specific protein. The inventions listed as Groups I-IV do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The inventions listed as Groups I-IV do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The claims are directed to a pharmaceutical composition of a therapeutic agent and a rotavirus VP4, VP8 or a fragment thereof and methods of using. However, Morrow et al. (US 6,680,169) teach various rotavirus proteins, such as VP4 that are used in combination with therapeutic agents towards treating cancer. Therefore, no special technical feature exists for groups I-IV as defined by PCT Rule 13.2, because it does not define a contribution over the prior art. Note that PCT Rule 13

Application/Control Number: 10/540;843

Art Unit: 1648

does not provide for multiple products or methods within a single application. Because the technical feature of Groups I-IV is not a special technical feature, unity of invention is lacking.

Election of Species

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

The species are as follows:

- A. A specific type of dosage/area of administration as stated in claims 9-15 must be elected.
- **B.** A specific therapeutic agent as stated in claim 16 must be elected.
 - I. If applicants elect a drug, a specific drug from claim 17 must also be elected.
 - X. If applicants elect a cardiovascular system drug, a specific cardiovascular system drug from claim 18 must also be elected.
 - **XX.** If applicants elect a central nervous system drug, a specific central nervous system drug from claim 19 must also be elected.
 - **XXX.** If applicants elect an anti-neoplastic drug, a specific anti-neoplastic drug from claim 20 must also be elected.
 - **XXXX.** If applicants elect an antibiotic, a specific antibiotic from claim 21 must also be elected.
 - II. If applicants elect a biologically active peptide, a specific peptide from claim22 must also be elected.

Application/Control Number: 10/540,843

Art Unit: 1648

1. If applicants elect a hormone, a specific hormone from claim 23 must also be elected.

- 2. If applicants elect a lymphokine, a specific lymphokine from claim 24 must also be elected.
- 3. If applicants elect a globulin, a specific globulin from claims 25 or 26 must also be elected.
- **4.** If applicants elect an albumin, a specific albumin from claim 27 must also be elected.
- III. If applicants elect a vaccine, a specific vaccine must also be elected from claim 28.
 - a. If applicants elect viral peptidic antigen vaccine, a specific antigen vaccine must also be elected from claim 29.
 - **b.** If applicants elect attenuated microorganisms, a specific microorganism must also be elected from claim 30.

Applicant is required, in reply to this action, to elect a single species to which the claims shall be restricted if no generic claim is finally held to be allowable. The reply must also identify the claims readable on the elected species, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered non-responsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after

Application/Control Number: 10/540,843

Art Unit: 1648

the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

The claims are deemed to correspond to the species listed above in the following manner:

A. Claims 9-15 require a specific type of dosage/area of administration, all other claims are generic.

B. Claims 16-30 require a specific therapeutic agent, all other claims are generic.

The following claim(s) are generic: all claims are generic.

The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: each dosage/area of administration type are distinct and each therapeutic agent claimed is also distinct, for example, insulin and attenuated microorganisms are not related.

Summary

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Benjamin P. Blumel whose telephone number is 571-272-4960. The examiner can normally be reached on M-F, 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bruce Campell can be reached on 571-272-1600. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Benjamin P Blumel/ Examiner Art Unit 1648

/Bruce Campell/ Supervisory Patent Examiner Art Unit 1648